

12/27/00
10979 U.S. PTO

12-28-00

PATENT

Docket No. YOR9-2000-0304 (1963-5006)

Express Mail Label No. EL 762529295 US

109/748888
12/27/00
10979 U.S. PTO



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))

COMMISSIONER OF PATENTS
Box Patent Application
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

All Inventors

including Address(es): David P. Greene,; Stephen J. Boies; Sam Dinkin; Paul Andrew Moskowitz; and
Philip Shi-Lung Yu;

For: **SYSTEM AND METHOD FOR "SWAPS" STYLE RISK PRODUCTS BASED ON NETWORK
ENABLED AGGREGATION**

Enclosed are

20 page(s) of specification, 1 page(s) of Abstract, 8 page(s) of claims

11 sheets of drawing (Figs 1, 2a-2g, 3a-3c) formal informal (as originally filed in the parent application)

3 page(s) of Declaration and Power of Attorney

Unsigned

Newly Executed

Copy from prior application

Deletion of inventors including Signed Statement under 37 C.F.R. § 1.63(d)(2)

Incorporation by Reference: The entire disclosure of the prior application, from which a copy of the combined declaration and power of attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.

Microfiche Computer Program (Appendix)

 page(s) of Sequence Listing

computer readable disk containing Sequence Listing

Statement under 37 C.F.R. § 1.821(f) that computer and paper copies of the Sequence Listing are the same

Assignment Papers (assignment cover sheet and assignment documents)

Charge Fee(s) \$40.00 for recording the Assignment to Deposit Account No. 13-4500 Order No. 1963-5006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED

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Assignment papers filed in parent application Serial No. _____.

Certification of chain of title pursuant to 37 C.F.R. § 3.73(b).

Foreign priority is claimed under 35 U.S.C. § 119 from _____ Patent Application(s) No. _____ dated _____.

Priority document(s) will be submitted at a later date.

Priority document(s) is/are submitted herewith.

Information Disclosure Statement

Copy of _____ cited references

Preliminary Amendment

Return receipt postcard (MPEP 503)

This is a continuation divisional continuation-in-part (C-I-P) of prior application serial no. _____.

Cancel in this application original claims _____ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

A preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.)

The status of the parent application is as follows:

A Petition For Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until _____.

A copy of the Petition for Extension of Time in the co-pending parent application is attached.

No Petition For Extension of Time and Fee therefor are necessary in the co-pending parent application.

Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.

Transfer the drawing(s) from the patent application to this application.

Amend the specification by inserting before the first line the sentence:
This is a continuation divisional continuation-in-part of co-pending application Serial No. _____ filed _____.

I. CALCULATION OF APPLICATION FEE

					Basic Fee
	Number Filed	Number Extra		Rate	\$690.00
Total Claims	27	-20= 7		x \$18.00	\$ 126.00
Independent Claims	3	-3= 0		x \$0.00	\$ 0.00
Multiple Dependent Claims					
	[] yes	Additional fee	=	\$260.00	\$ -0-
	[X] no	Additional fee	=	NONE	
					Total: \$ 816.00

- A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. § 1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. § 1.9(F) (50% of total) paid herewith \$ _____.
- A check in the amount of \$816.00 in payment of the application filing fees is attached.
- Charge Fee(s) to Deposit Account No. 13-4500 Order No. _____. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
- The Commissioner is hereby authorized to charge any additional fees which may be required for filing this application, or credit any overpayment to Deposit Account No. 13-4500, Order No. 1963-5006. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,

MORGAN & FINNEGAN, L.L.P.

Dated: December 27, 2000

By: Robert M. Pollaro
Robert M. Pollaro
Registration No. 45,019

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